# **ORIGINAL RESEARCH**

# **Open Access**

# SAFIR-I: first NEMA NU 4-2008-based performance characterization



Pascal Bebie<sup>1\*</sup>, Werner Lustermann<sup>1</sup>, Jan Debus<sup>1</sup>, Christian Ritzer<sup>1</sup>, Günther Dissertori<sup>1</sup> and Bruno Weber<sup>2,3</sup>

\*Correspondence: bebiep@phys.ethz.ch

<sup>1</sup> Institute for Particle Physics and Astrophysics, ETH Zurich, Otto-Stern-Weg 5, 8093 Zurich, ZH, Switzerland <sup>2</sup> Institute of Pharmacology and Toxicology, University of Zurich, Winterthurerstrasse 190, 8057 Zurich, ZH, Switzerland <sup>3</sup> Neuroscience Center Zurich, University and ETH Zurich, Winterthurerstrasse 190, 8057 Zurich, ZH, Switzerland

# Abstract

**Background:** Small Animal Fast Insert for MRI detector I (SAFIR-I) is a novel Positron Emission Tomography insert for a 7 T Bruker BioSpec 70/30 Ultra Shield Refrigerated Magnetic Resonance Imaging (MRI) system. It facilitates truly simultaneous quantitative imaging in mice and rats at injected activities as high as 500 MBq. Exploitation of the resulting high count rates enables quick image formation at few seconds per frame. In this investigation, key performance parameters of SAFIR-I have been determined according to the evaluations outlined in the National Electrical Manufacturers Association (NEMA) Standards Publication NU 4-2008 (NEMA-NU4) protocol.

**Results:** Using an energy window of 391 to 601 keV and a Coincidence Timing Window of 500 ps, the following performance was observed: The average spatial resolution at 5 mm radial offset (Full Width at Half Maximum) is 2.54 mm when using Filtered Backprojection, 3D Reprojection reconstruction. For the mouse- and rat-like phantoms, the maximal Noise-Equivalent Count Rates (NECRs) are 1368 kcps at the highest tested average effective concentration of 14.7 MBq cc<sup>-1</sup>, and 713 kcps at the highest tested average effective concentration of 1.72 MBq cc<sup>-1</sup>, respectively. The NECR peak is not yet reached for either of these cases. The peak sensitivity is 1.46 %. The Image Quality phantom uniformity standard deviation is 4.8 %. The Recovery Coefficient for the 5 mm rod is (1.08  $\pm$  0.10). The Spill-Over Ratios are (0.22  $\pm$  0.03) and (0.22  $\pm$  0.02), for the waterand air-filled cylinder, respectively. An accuracy of 4.3 % was achieved for the quantitative calibration of reconstructed voxel values.

**Conclusions:** The measured performance parameters indicate that the various design goals have been achieved. SAFIR-I offers excellent performance, especially at the high activities it was designed for. This facilitates planned experiments with fast tracer kinetics in small animals. Ways to potentially improve performance can still be explored. Simultaneously, further performance gains can be expected for a forthcoming insert featuring 2.7 times longer axial coverage named Small Animal Fast Insert for MRI detector II (SAFIR-II).

**Keywords:** Positron Emission Tomography, PET/MRI, Preclinical imaging, SAFIR, NEMA NU 4-2008, Performance characterization



© The Author(s) 2023. **Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http:// creativecommons.org/licenses/by/4.0/.

## Background

The Small Animal Fast Insert for MRI (SAFIR) collaboration is developing novel Positron Emission Tomography (PET) inserts for a 7 T Bruker BioSpec 70/30 Ultra Shield Refrigerated (USR) Magnetic Resonance Imaging (MRI) system [1, 2]. The inserts are specified to enable quantitative, truly simultaneous PET/MRI research in mice and rats, with a spatial resolution of around 2 mm in the center of the Field Of View (FOV), at injected activities extending up to 500 MBq. Exploitation of the associated high count rates, specifically the corresponding low noise, enables an exceptional image frame acquisition speed in the order of one diagnostically relevant frame every few seconds [1, 3, cf.]. This high frame rate is required for capturing fast tracer kinetics, which was not possible with existing small-animal PET systems [1, cf.].

A first insert, Small Animal Fast Insert for MRI detector I (SAFIR-I), has successfully been constructed and commissioned [1]. In this study, fundamental performance parameters of SAFIR-I have been determined according to the NEMA Standards Publication NU 4-2008 (NEMA-NU4) protocol.

# The SAFIR-I detector design

The complete design and functionality of the detector have previously been described in [1]. Additional material on the data acquisition software and the analysis software can be found in [3–5]. The following paragraphs summarize the key information from those sources.

SAFIR-I, shown in Figs. 1 and 2, has a dodecagonal shape with an outer diameter of 198 mm, an inner diameter of 114 mm and an axial FOV of 54.2 mm. The detector head comprises 24 rings of Lutetium Yttrium OxyorthoSilicate (LYSO) crystals (Sichuan Tianle Photonics) with dimension 2.12 mm × 2.12 mm × 13 mm, which are separated by Enhanced Specular Reflector (ESR) foils (3 M), assembled into pairs of matrices of  $8 \times 8$  and  $7 \times 8$  crystals (due to spatial constraints) at a pitch of 2.2 mm. Three such pairs are mounted in series in axial direction at a pitch of 18.1 mm, effectively forming three rings of crystal blocks, and hence summing up to 4320 crystals in the detector head in total. Due to the weakly radioactive (half life of  $(4.05 \pm 0.09) \times 10^{10}$  year [6]) <sup>176</sup>Lu contained in the crystal material, contributions from the intrinsic radioactivity of the detector have to be considered explicitly below.



**Fig. 1** Cutaway view of SAFIR-I. Seven detector sections are displayed. The detector head is shown on the left hand side of the image; the three pairs of crystal matrices per section are depicted in light blue. Rendering by R. Becker for the SAFIR collaboration



Fig. 2 Photographs of the open and closed SAFIR-I detector. Photography by P. Bebié

The crystal matrices are read out by Silicon Photomultiplier (SiPM) arrays (Hamamatsu S13361-2050AE-08 SPL0) matching the crystal matrices' pitch. The signals are digitized by Application Specific Integrated Circuits (ASICs) (Position-Energy-Timing Application Specific Integrated Circuit, version 6, Single Ended (PETA6SE) [7, 8], 144 in total), subsequently processed by a Field-Programmable Gate Array (FPGA) (Xilinx Kintex-7 XC7K70T) in every detector section and then passed to a Data Acquisition (DAQ) computer through 1 GBit Ethernet connections.

The data processing including all calibration steps is described in [1, 4]. Coincidences are saved in listmode files subsequently used for reconstruction and data analysis according to NEMA-NU4.

## Methods

All measurements reported in this manuscript have been taken with SAFIR-I. The PET insert was permanently installed inside the constant magnetic field of the MRI system during all tests. No Magnetic Resonance (MR) coils were used concurrently and no simultaneous MR acquisitions took place to preclude excessive experimental complexity. Full MR-compatibility of SAFIR-I has previously been shown [1]. A well counter dose calibrator (Medisystem<sup>1</sup> Medi 405) was used to determine the activities of the employed sources.

The data collection, processing, and analysis followed the NEMA-NU4 protocol (for convenience simply denoted "the protocol" henceforth) [9], with

<sup>1</sup> Now Lemer Pax.



**Fig. 3** Point source holder for SAFIR-I. The radius of the white alignment plates corresponds to the inner radius of the detector; the 3D-printed tip (black) accepts  $1 - cm^3$  cubic sources (<sup>22</sup>Na) for detector calibration and NEMA-NU4 measurements. Design by R. Becker for the SAFIR collaboration

minimal adaptations detailed in subsections – below. For the coincidence search, a CTW of 500 ps and an energy window of 391 to 601 keV [1, 4] were applied in all cases. All reconstructions were performed using methods implemented in Software for Tomographic Image Reconstruction (STIR) [10], with a voxel size of  $0.55 \text{ mm} \times 0.55 \text{ mm} \times 1.1 \text{ mm}$ .

The performance reports for each test were again based on the protocol's instruction. Finally, the achieved performance results were compared to the performance parameters reported for a set of reference scanners featuring similarly sized crystals, including the SAFIR prototype system, as tabulated in [3].

## **Spatial resolution**

A <sup>22</sup>Na point source embedded in a  $1 - \text{cm}^3$  acrylic cube (Eckert & Ziegler Isotope Products, High-Resolution Marker "NEMA," MMS09-022, source diameter 0.25 mm) was used. Its activity was 316.3 kBq, a level at which dead time losses are negligible for SAFIR-I. As demanded by the protocol, the axial source positions were 0 mm (axial center) and 13.5 mm (one quarter of the axial FOV). Supplementary to the mandatory offset evaluations, measurements at 0 mm radial offset were taken. At each source location,  $6 \times 10^5$  coincidences were collected to satisfy the requirement of acquiring at least  $10^5$  coincidences. Instead of the animal bed on a single-direction rail, a point source holder facilitating point source positioning in two directions was used, as shown in Fig. 3.

The data analysis was performed using STIR's FBP3DRP reconstruction algorithm without any filtering or smoothing of the data (as stipulated). As a back-projection filter the STIR-default Colsher filter ( $\alpha = 1$ , cut-off 0.5 cycles in both axial and planar directions) was used. A known downside of this algorithm is its requirement for cylindrical projection data; for a detector with block geometry like SAFIR-I, direct interpolation of the data to fit the cylindrical geometry leads to image degradation with severe streak artifacts [3, 5, 11, 12]. In order to partially ameliorate the degradation, the raw data from SAFIR-I were first sorted into projection data using its true, generic block geometry and then rebinned into a cylindrical projection [5, 11, cf.], prior to reconstruction.



Fig. 4 The NECR phantoms used. Top: Mouse-like. Bottom: Rat-like. Standard Luer lock connectors and plugs on both ends enabled to fill and seal the active volume

#### Scatter fraction, count losses, and random coincidence measurements

As SAFIR-I can fit mice and rats, scatter phantoms for those two use-cases were obtained (QRM Micro-PET Scatter Phantom mouse size / rat size, see Fig. 4).

For measurements of the background due to intrinsic radioactivity of SAFIR-I's LYSO crystals, 42 h and 48 h of continuous data could be acquired, for the mouse-like and rat-like phantom, respectively.

For the acquisition of count rate data, both phantoms were filled with a solution of <sup>18</sup>F in water. In the case of the mouse-like phantom the liquid volume was 0.225 mL; in the case of the rat-like phantom it was 0.450 mL.

In order to get a good resolution on any count rate peak potentially presenting at high activities, 16 measurements were taken at shorter 600 s intervals, before the interval time was extended to 3200 s for the remaining 18 measurements. The acquisition time for the first measurement was 1 s and for subsequent acquisitions it was exponentially increased to the nearest full second to compensate for the decay. For these experiments, the start and end activities for the mouse-like phantom were 506.1 MBq and 580.2 kBq; for the rat-like phantom they were 506.1 MBq and 564.3 kBq, respectively.

The scatter fraction was determined for the last data point in each case. The protocol's instruction was followed in all other points.

#### Sensitivity

The same <sup>22</sup>Na point source as in subsection was used, at an activity of 313.6 kBq. Per measurement point,  $8 \times 10^4$  coincidences were acquired in order to obtain a smoother axial sensitivity curve [3, cf.].

Furthermore, the point source holder (see Fig. 3) only allowed for a step width of an estimated  $(1.0 \pm 0.1)$  mm, as opposed to the step size of one slice thickness (i.e., 1.1 mm) which the protocol asked for. Hence, the axial FOV was covered in 55 instead of 49 steps. To account for the extra steps when going in either direction from the axial center in the sum calculation of the total system sensitivity, all sensitivities but the central value were weighted with a factor of 48/54. Furthermore, considering the uncertainty associated with the mechanical setting of the source position, the positions were determined from the data.

In all other aspects, the steps outlined by the protocol were followed.



**Fig. 5** The IQ phantom used. Markers for rapid positioning and alignment were added on orange tape. Yellow tape (left) was used to fix the phantom in place on the animal bed

# Image quality, accuracy of attenuation, and scatter corrections

An IQ phantom was obtained (QRM Micro-PET IQ Phantom, see Fig. 5) and its active volume filled with a solution of <sup>18</sup>F in water. Due to a temporary issue with the well counter, the start activity was 3.35 MBq, i.e., marginally below the protocolrequested 3.52 MBq. The acquisition time was 20 min. The image data were random, attenuation, scatter and normalization corrected [5, cf.]; the Singles-Prompts (SP) method [13] was used for the estimation of randoms and the Single Scatter Simulation (SSS) method [14, 15] was used to estimate scatter (see [5] for details). The attenuation maps were generated manually using STIR's generate\_image utility in conjunction with reconstructed measurement frames for reference, and including the known attenuation properties of the phantom materials. This approach is similar to the segmentation method [16]. A quantitative calibration of voxel values was achieved by imaging a cylindrical phantom of comparable dimensions to the IQ phantom but without any internal structures, hence larger active volume. Accordingly, the start activity was increased to 6.66 MBq for the calibration phantom measurement in order to achieve a similar average activity concentration for an identical acquisition time of 20 min.

The images were reconstructed using a Maximum-Likelihood Expectation- Maximization (MLEM) algorithm with 30 iterations and a Gaussian inter-update filter with FWHM of  $1.1 \text{ mm} \times 1.1 \text{ mm} \times 2.2 \text{ mm}$ , i.e., twice the edge lengths of the reconstructed voxels, was applied [3, cf.]. The voxel value calibration factor CF was determined by inspecting a centered cylindrical Volume Of Interest (VOI) inside the calibration phantom with a radius of 75 % the phantom's inner radius to prevent edge effects. There, the mean reconstructed number of counts was compared with the expected number of decays leading to the emission of 511 keV photons based on the known activity, i.e.,

$$CF = \frac{\text{expected no. of decays}}{\text{reconstructed counts}}.$$
 (1)

An <sup>18</sup>F-to- $\beta^+$  branching ratio of 96.86 % [17] was used for the calculation. This factor was subsequently applied to the IQ phantom data, leading to a calibrated number of reconstructed counts (denoted "calibrated counts" hereafter). To test the calibration, cylindrical VOI was drawn inside the central uniform region of the IQ phantom (again centered on the phantom's axis and with a radius of 75 % the phantom's inner radius). The accuracy *A* (in percent) of the calibration could then be estimated by comparing

| Table 1    | SAFIR-I  | spatial  | resolution | values  | reported | in | radial, | tangential | and | axial | direction | in | [mm] |
|------------|----------|----------|------------|---------|----------|----|---------|------------|-----|-------|-----------|----|------|
| after reco | onstruct | ion with | n an FBP3D | RP algo | prithm   |    |         |            |     |       |           |    |      |

| Reconstructed   | Reconstructed image pixel size [mm <sup>2</sup> ]: 0.55×0.55 |      |      |      |       |      |       |       |       |       |
|-----------------|--|------|------|------|-------|------|-------|-------|-------|-------|
| Slice thicknes  | s [mm]: 1  | .1   |      |      |       |      |       |       |       |       |
| At axial cente  | At axial center  |      |      |      |       |      |       |       |       |       |
| Radial offset   | 0 mm   |      | 5 mm |      | 10 mm |      | 15 mm |       | 25 mm |       |
|                 | FWHM   | FWTM | FWHM | FWTM | FWHM  | FWTM | FWHM  | FWTM  | FWHM  | FWTM  |
| Radial          | 2.02   | 3.59 | 2.76 | 5.53 | 3.20  | 7.22 | 3.52  | 12.21 | 3.45  | 13.42 |
| Tangential      | 2.00   | 3.92 | 1.97 | 3.88 | 2.24  | 4.25 | 2.94  | 6.36  | 3.15  | 5.64  |
| Axial           | 2.79   | 5.13 | 2.92 | 5.41 | 2.91  | 5.39 | 2.95  | 5.47  | 2.96  | 5.49  |
| At 1/4 axial FO | V from cer   | nter |      |      |       |      |       |       |       |       |
| Radial          | 1.34   | 2.94 | 2.58 | 5.36 | 3.66  | 7.41 | 3.47  | 12.36 | 3.40  | 13.64 |
| Tangential      | 1.53   | 3.51 | 2.08 | 4.34 | 2.33  | 4.23 | 2.74  | 5.74  | 3.17  | 5.98  |
| Axial           | 2.77   | 5.03 | 2.93 | 5.47 | 2.94  | 5.44 | 2.96  | 5.48  | 2.98  | 5.53  |

the mean of the calibrated counts in the VOI with the expected number of decays in the same volume, according to [3, 5, cf.]

$$A = \frac{\text{expected no. of decays} - \text{calibrated counts}}{\text{expected no. of decays}} \times 100\%.$$
 (2)

The procedures described in the protocol were followed in all remaining points, including the definitions of Regions Of Interest (ROIs)/VOIs, and the determinations of Spill-Over Ratio (SOR) and Recovery Coefficient (RC) values.

## Results

#### Spatial resolution results

The spatial resolution results are summarized in Table 1 in terms of FWHM and Full Width at Tenth Maximum (FWTM). For better visualization, the results are also plotted in Figs. 6 and 7.

#### Scatter fraction, count losses, and random coincidence measurements results

The absolute number of intrinsic radioactivity counts observed for the mouse- and ratlike phantoms are shown in Fig. 8. Significantly fewer than 10000 counts were observed in each slice over the given time period. Based on the available data, it can be estimated that an acquisition time of 221.5 d and 241 d would be necessary, for the mouse- and ratlike phantom, respectively, to satisfy this provision even for the outermost slices.

After trimming, an intrinsic true event's counting rate of 0.03 cps was found for both phantoms. Figure 9 then shows the count rate plots for each phantom and Table 2 lists the Scatter Fractions (SFs), together with the activities at which they are quoted.

In the case of the mouse-like phantom, the peak of neither the True Count Rate (TCR), nor the Noise-Equivalent Count Rate (NECR) was reached even at the highest activities. Accordingly, the highest observed TCR and NECR were 1740 and 1368 kcps, respectively, at the average effective activity concentration of 14.7 MBq cc<sup>-1</sup>. One data point was excluded from the analysis due to a problem with the acquisition.



Fig. 6 SAFIR-I spatial resolution in radial, tangential and axial direction at the center of the axial FOV with an FBP3DRP reconstruction algorithm

For the rat-like phantom the maximal values of 705 kcps for the TCR and 413 kcps for the NECR are found at the highest average effective activity concentration of  $1.72 \text{ MBq cc}^{-1}$ .

# Sensitivity results

The duration required to collect 80 000 true events with the point source centered axially and transaxially, and equivalently the acquisition time for the background data set, was found to be 18.19 s.



Fig. 7 SAFIR-I spatial resolution in radial, tangential and axial direction at one quarter of the axial FOV with an FBP3DRP reconstruction algorithm

The recorded sensitivities are plotted as a function of the reconstructed axial position to form the axial sensitivity profile shown in Fig. 10. For the outermost two data points on either side, i.e., the ones closest to either edge of the axial FOV, an accurate reconstruction of the axial position was not possible. To place them with reasonable accuracy, the average axial spacing between all other data points was determined  $((1.01 \pm 0.07) \text{ mm})$  and used to estimate the points' axial coordinates with respect to their neighbors'. As visible in the plot, this approach works well in all positions



(b) Rat-like phantom, 48 h

Fig. 8 Acquired intrinsic true counts per slice, after Single Slice Rebinning (SSRB), for the mouse-like (8a) and rat-like (8b) phantoms

with the exception of the outermost one in negative direction (left), which displays an apparent asymmetry; it is hence neglected for the discussion.

The peak sensitivity is 1.46 %. The average system sensitivity is 0.73 %. The step-number-corrected total sensitivity is 35.63 %. In addition to the central peak, the profile presents two side peaks at around  $\pm 18$  mm.

# Image quality, accuracy of attenuation, and scatter corrections results

A coronal cut through the reconstructed IQ phantom image is shown in Fig. 11. Additionally, three transverse cuts through the different phantom sections are shown in Fig. 12. The smallest hot rod of 1 mm diameter could not be reconstructed (Fig. 12c). The results of the uniformity test (in calibrated counts), recovery coefficient test, and the



Fig. 9 SAFIR-I count rate plots for the mouse-like and rat-like phantom

| Table 2 | SAFIR-I | system | scatter | fraction | report |
|---------|---------|--------|---------|----------|--------|
|---------|---------|--------|---------|----------|--------|

| Phantom    | SF   | Avg. activity |
|------------|------|---------------|
| Mouse-like | 0.08 | 580.2 kBq     |
| Rat-like   | 0.18 | 564.3 kBq     |

accuracy of corrections are summarized in Tables 3, 4, and 5, respectively. The accuracy of the quantitative calibration of voxel activity was 4.3 %.

## Discussion

SAFIR-I evolved from the SAFIR prototype system. The capabilities of the latter have previously been compared to a set of ten different PET systems featuring similar crystal dimensions, as well as the Bruker PET insert (Si 198) designed for use in conjunction with (e.g.,) the BioSpec 70/30 USR MRI system; the comparison showed that the



Fig. 10 Axial sensitivity profile of SAFIR-I



Fig. 11 Image of the IQ phantom after MLEM reconstruction with corrections in coronal view, sliced at 4.4 mm from the central axis in direction of the largest hot rods



(a) Cold chamber section (b) Uniform section (c) Hot rod section Fig. 12 Transverse image slices through the centers of each section of the IQ phantom

|            | Mean  | Maximum | Minimum | %STD |
|------------|-------|---------|---------|------|
| Uniformity | 59064 | 66824   | 52567   | 4.8  |

| RC   | %STD                                       |
|------|--|
| 0.04 | 31.5                                       |
| 0.28 | 18.2                                       |
| 0.54 | 15.5                                       |
| 0.84 | 10.6                                       |
| 1.08 | 9.2  |
|      | RC<br>0.04<br>0.28<br>0.54<br>0.84<br>1.08 |

Table 4 SAFIR-I report for Recovery Coefficient test

Table 5 SAFIR-I report for accuracy of (scatter) corrections

| Region                | SOR   | %STD |
|-----------------------|-------|------|
| Water-filled cylinder | 0.220 | 10.9 |
| Air-filled cylinder   | 0.218 | 9.8  |

**Table 6** Average spatial resolution (FWHM in [mm]) at different radial offsets from the center of the FOV with associated reconstruction algorithms (RA) [3, 18–22]

|            |                    |  | Radial offset     |                   |                   |                   |  |  |
|------------|--------------------|--|-------------------|-------------------|-------------------|-------------------|--|--|
| System     | RM                 | Crystal size [mm <sup>3</sup> ]        | 5 mm              | 10 mm             | 15 mm             | 25 mm             |  |  |
| SAFIR-I    | FBP3DRP            | 2.12 × 2.12 × 13.00                    | 2.55              | 3.02              | 3.14              | 3.19              |  |  |
| SAFIR p.s. | FBP3DRP            | 2.12 × 2.12 × 13.00                    | 2.56              | 2.79              | 3.05              | 3.14              |  |  |
| Mosaic     | FBP3DRP            | $2.0 \times 2.0 \times 10.0$           | 3.05 <sup>a</sup> | 3.08 <sup>a</sup> | 3.17 <sup>a</sup> | 3.37 <sup>a</sup> |  |  |
| MuPET      | SSRB FBP           | 1.24 × 1.40 × 9.50                     | 1.27              | 1.30              | 1.36              | 1.43              |  |  |
| Inveon     | $FORE^{b} + 2DFBP$ | 1.5 × 1.5 × 10.0                       | 1.83 <sup>a</sup> | 1.93 <sup>a</sup> | 1.90 <sup>a</sup> | 2.00 <sup>a</sup> |  |  |
| Bruker     | MLEM               | $50.0 \times 50.0 \times 10.0^{\circ}$ | 0.88              | 0.93              | 0.90              | 0.95              |  |  |

<sup>a</sup> Estimated from plot

<sup>b</sup> Fourier Rebinning

<sup>C</sup> monolithic crystals

prototype generally was a strong contender, however clearly outperformed in terms of achievable NECR by the MuPET (PET/Computed Tomography (CT)), Inveon (PET), Mosaic (PET; commercial name of the A-PET [18, 19]), and Bruker (PET/MRI [insert]) systems [3]. The following sections will thus repeat the performance parameter comparisons of those systems and the SAFIR prototype, in juxtaposition to the results attained with SAFIR-I.

#### Spatial resolution

The spatial resolution results obtained for SAFIR-I with the FBP3DRP algorithm are consistent with the ones reported for the prototype system, both in terms of the shapes of the curves (Fig. 6), as well as in terms of absolute values reported. In both cases near-constant values in axial direction, for both FWHM and FWTM, at around  $(2.9 \pm 0.1)$  mm and 5.4 mm, respectively, were found for all investigated points inside the FOV. In radial and tangential directions more gradual changes in resolution could be seen for SAFIR-I, as opposed to the small, erratic downwards fluctuations reported for the prototype system, which explains the minute difference in average resolutions for

|            | Mouse-like phanto | m              | Rat-like pha | like phantom        |                |                |  |
|------------|-------------------|----------------|--------------|---------------------|----------------|----------------|--|
| System     | Max. NECR [kcps]  | Activity [MBq] | SF [%]       | Max. NECR<br>[kcps] | Activity [MBq] | SF<br>[%]      |  |
| SAFIR-I    | 1368              | 506.1          | 8.0          | 413                 | 506.1          | 18.0           |  |
| SAFIR p.s. | 799               | 537            | 10.9         | 121                 | 624            | 17.8           |  |
| Mosaic     | 308               | 84.5           | 9.6          | 129                 | 100            | 16.8           |  |
| MuPET      | 1100              | 57             | 11.9         | 352                 | 65             | 28.0           |  |
| Inveon     | 1670              | 131            | 7.8          | 592                 | 110            | 17.2           |  |
| Bruker     | 486               | 23             | _a           | 239                 | 23             | _ <sup>a</sup> |  |

| Table 7 | Peak NECR | and SF of | comparison | [3, | 18-22 |
|---------|-----------|-----------|------------|-----|-------|
|---------|-----------|-----------|------------|-----|-------|

<sup>a</sup> Not reported

certain offsets (see Table 6). The smoother change can be attributed to the additional oblique planes resultant of the longer axial FOV. The overall upwards trend in radial FWHM is caused by the parallax error.

Compared to the selected reference scanners (see Table 6), one can see that the spatial resolutions measured for SAFIR-I are worse than the ones reported for systems using notably smaller crystals, which is consistent with the expectation of the detector size being the primary driver for spatial resolution. However, the SAFIR-I spatial resolutions are markedly better than the ones achieved by the Mosaic system, which uses crystals of comparable, yet still slightly smaller front face. The spatial resolution offered by the Bruker system, determined using MLEM reconstruction, is unmatched by any of the other systems.

Considering SAFIR-I's irregular block detector shape, the spatial resolution can still be improved by employing alternative reconstruction algorithms like for instance MLEM, which could use the detector's exact geometry without the need for interpolation between the blocks, hence averting the resolution-degrading streak artifacts associated with FBP3DRP in this situation [3, 12, cf.]. While the nature of a minimization algorithm can lead to an overestimate of the quality of the resolution on a point source, MLEM could give adequate estimates for extended objects in a radioactive background, e.g., the cerebral cortex inside a rat brain during an <sup>18</sup>F-Fluorodeoxyglucose (FDG) study; in the case of SAFIR-I, this method has previously successfully been used to show that a spatial resolution of around 2 mm can certainly be reached in the center of the FOV during concurrent PET/MR imaging [1].

# Scatter fraction, count losses, and random coincidence measurements

SAFIR-I offers excellent intrinsic suppression and count rate performance. The NECR peak is not reached even at the highest tested average effective activity concentrations, corresponding to injected activities surpassing 500 MBq, regardless of the phantom. Not considering possible systematic errors, the errors on the count rate results are estimated to be at the 3 % level, dominated by the uncertainty of the probe activity measurement in the employed calibrator. In comparison to the reference systems (see Table 7), the maximal observed SAFIR-I NECR values are now higher than the peak values reported for the Mosaic and the MuPET systems, in addition to being superior to the ones attained with the Bruker insert. Notwithstanding this NECR performance boost compared to the

| System     | Energy window [keV] | Time window<br>[ns] | Axial FOV [mm] | Sensitivity<br>[%] |
|------------|---------------------|---------------------|----------------|--------------------|
| SAFIR-I    | 391 to 601          | 0.5                 | 54.2           | 1.46               |
| SAFIR p.s. | 391 to 601          | 0.5                 | 36             | 1.06               |
| Mosaic     | 410 to 665          | 12                  | 119            | 1.14               |
| MuPET      | 350 to 650          | 3.4                 | 116            | 6.35               |
| Inveon     | 350 to 625          | 3.4                 | 127            | 6.72               |
| Bruker     | a                   | a                   | 150            | 11.0               |

| Table 8 Peak sensitivity comparison [3, 7 | 18-22 |
|---|-------|
|---|-------|

<sup>a</sup> Not reported

prototype system, SAFIR-I is still bested by the Inveon system. All four reference devices can rely on axial FOVs more than twice as long as SAFIR-I's and reach their peak NECR values already at significantly lower activities.

The relatively short length of SAFIR-I's axial FOV in comparison to the length of the rat-like phantom can explain the reduced number of trues found in that measurement (see Fig. 9). Assuming a perfectly uniform distribution of activity inside the phantom, roughly 61.3 % of the total activity would be outside the FOV (compared to only 9.7 % for the mouse-like phantom), thus only contributing single photons to the raw measurement data.

Based on the intrinsic coincidence rate  $R_I$  and the total sensitivity  $S_{tot}$ , the activity level  $A_{SF}$  stipulated by the protocol for the determination of the SFs can be estimated [9, cf.]:

$$A_{SF} = \left(\frac{\sqrt{R_I}}{S_{\text{tot}}}\right) \cdot 5 \approx 2.4 \,\text{Bq} \tag{3}$$

It follows that the SFs for SAFIR-I have been established at significantly higher activities than projected by the protocol. Accordingly, recognizing a linear rise with increasing activity in both trues and scatters in the count rate plots for the low activity region, the SFs reported for SAFIR-I are likely slightly overestimated. Still, the results are comparable to the other scanners used for reference (see Table 7). In particular, they are comparable to the SFs which have been found for the Inveon system at activities  $\sim 60 \times$  lower than the ones reported here [21].

#### Sensitivity

The sensitivity profile follows the expected triangular shape. Compared to the value reported for the SAFIR prototype, the peak sensitivity has increased by 37.5% (1.46% vs. 1.06%[3]), which is comparable to the increase in solid angle coverage featured by SAFIR-I (44.0%). The remaining difference could be resultant of the disparate source activities between the two measurements, differences between the two detectors in the configurations, as well as in the energy and timing calibrations prior to the application of respective windows, and due to the lower operating temperature (i.e., fewer dark counts) of SAFIR-I. The two side peaks correspond to the centers of the two outer rings of crystal blocks, which lie at a distance of  $\pm 18.1$  mm from the axial center. This behavior is typical for multi-ring PET scanners [23, see e.g.,].

|            |                       |                         | RC                |                   |                   |                   |      | SOR [%]           |                 |  |  |
|------------|-----------------------|-------------------------|-------------------|-------------------|-------------------|-------------------|------|-------------------|-----------------|--|--|
| System     | RM                    | %STD <sub>uniform</sub> | 1 mm              | 2 mm              | 3 mm              | 4 mm              | 5 mm | Water-filled cyl. | Air-filled cyl. |  |  |
| SAFIR-I    | 3D MLEM               | 4.8                     | 0.04              | 0.28              | 0.54              | 0.84              | 1.08 | 22.0              | 21.8            |  |  |
| SAFIR p.s. | 3D MLEM               | 3.0                     | 0.13 <sup>d</sup> | 0.29              | 0.49              | 0.65              | 0.88 | 17.3              | 18.5            |  |  |
| Mosaic     | 3D RAMLA <sup>a</sup> | 5.1                     | 0.22              | 0.55              | 0.74              | 0.87              | 0.98 | 6.3               | 2.7             |  |  |
| MuPET      | FBP3DRP <sup>b</sup>  | 6.5                     | 0.19              | 0.58 <sup>e</sup> | 0.78 <sup>e</sup> | 0.89 <sup>e</sup> | 0.95 | 9.0               | 5.0             |  |  |
| Inveon     | $FORE^{c} + 2DFBP$    | 5.3                     | 0.17              | 0.48              | 0.72              | 0.84              | 0.93 | 1.7               | -0.6            |  |  |
| Bruker     | 3D MLEM <sup>b</sup>  | 4.5                     | 0.14              | 0.64              | 0.91              | 0.95              | 0.94 | 6.2               | 4.6             |  |  |

| <br>  |     | • | ~         | •       |              |          |            |              |   |     |                | $\sim$ |    |
|-------|-----|---|-----------|---------|--------------|----------|------------|--------------|---|-----|----------------|--------|----|
|       | ~ " |   | $( \ nm)$ | naricon | $\cap^{\pm}$ | Imaga    | auslit     | v naramotorc |   |     | <br>           | , ,,   | 61 |
|       |     |   |           |         | ( )          | ILLIALIE | ( III AIII | VIAIAIIPPE   |   | ۰ I |                | · / I  |    |
| <br>- | ~ - |   |           | pulloui | 0.           | nnuge    | guunt      | y parameters | - | , I | <br>, <u> </u> |        | £  |
|       |     |   |           |         |              |          |            | 2 I          |   |     |                | _      |    |

<sup>a</sup> Row-Action Maximum-Likelihood Algorithm

<sup>b</sup> No scatter correction applied

<sup>C</sup> Fourier Rebinning

<sup>d</sup> The smallest rod was not visible in the reconstructed image

<sup>e</sup> Estimated from plot

Table 8 shows a comparison to the reference scanners. The systems with significantly longer axial FOV generally achieve much higher sensitivities (e.g., the MuPET, Inveon, and Bruker systems). Considering the narrow energy window and short axial FOV, SAFIR-I has a high sensitivity, as required by its specification for high image frame rates. In particular, it can readily compete with the Mosaic system while featuring less than half the competitor's axial coverage.

#### Image quality, accuracy of attenuation, and scatter corrections

The IQ phantom images are artifact-free. There is a good uniformity in the uniform hot region, with a standard deviation  $\sigma$  below the 5 % level, and with the maximum and minimum values at 2.7  $\sigma$  and 2.3  $\sigma$  of the mean value, respectively. This indicates that scatter and — in particular [5]— attenuation corrections work well [9]. The uniformity is comparable to values reported for other scanners [3] including the reference scanners listed in Table 9.

The RC value of almost zero found for the 1 mm diameter hot rod is compatible with it not being visible in the image. A full recovery (RC(%STD) = 1.08(9.2%)) of the introduced activity is accomplished for the 5 mm hot rod. In general, the RCs and associated standard deviations achieved with SAFIR-I present a notable improvement over the performance reported for the prototype system [3], albeit scanners employing smaller crystals, or in the case of the Bruker insert monolithic ones, can offer even better values, especially for rod diameters smaller than 3 mm to 4 mm (compare Tables 6 and 9).

The SORs for the water- and air-filled cylinders are almost identical, with similar standard deviations. This can be considered another indication for the quality of the applied attenuation correction. The primary driver for this parameter can hence be considered to be scatter [9]. Further, the values are within one standard deviation compatible with the results reported for the prototype system [3], where however the observed deviations across the cylindrical volumes could be reduced by > 40 % with SAFIR-I. The reduction can be attributed to the coverage of the region with additional, more oblique planes consequent to the longer axial FOV and the resulting geometrically augmented sampling.

In direct comparison with the absolute amounts of spill-over reported for the reference systems (see again Table 9), the values determined here are undesirably higher, which could be related to the longer FOVs and better spatial resolutions offered by these systems but could also hint toward improvements still being possible for the reconstruction including corrections.

#### Conclusions

The performance characteristics of the SAFIR-I PET insert have successfully been evaluated according to NEMA-NU4 under realistic conditions, i.e., with the scanner installed inside the bore of the MRI system and operated in its static 7 T magnetic field<sup>2</sup>.

The spatial resolution satisfies the target specified in the SAFIR design [1, cf.] and is consistent with earlier observations on the prototype system [3].

Further, an exceptional count rate performance could be demonstrated. This result confirms SAFIR-I's ability to handle high injected activity, which is required for the quantification of fast tracer kinetics in small animals.

SAFIR-I delivers high sensitivity, especially considering its limitations of short axial coverage, relatively large inner diameter, narrow energy window, and narrow CTW, thus facilitating rapid formation of diagnostically useful images at high activities [1, cf.] congruent with its design specification. The observed sensitivity enhancement over the SAFIR prototype matched expectations.

The IQ study proved SAFIR-I's capabilities as a reliable quantitative imaging system. The results presented improvements over the prototype system, and demonstrated high consistency between different performance measures, as well as generally well functioning corrections. It is conceivable that the SORs could be reduced using a different scatter estimation implementation. A comparison of several reconstruction methods including STIR version 3, STIR version 5, and the internally developed Fast Tomographic Reconstruction (FTR) [4] is thus planned for the near future.

In summary, SAFIR-I delivers excellent performance, particularly at high activities, in line with its design goals. The observed capabilities allow to proceed with preclinical in vivo animal studies at injected activities reaching 500 MBq using SAFIR-I.

Simultaneously, the efforts to improve imaging performance continue. Further investigations beyond the NEMA-NU4 protocol are required to exhaust the true performance potential of SAFIR-I in relation to chosen design parameters of an imaging study. Among many possibilities to tune the behavior of this detector are the option to include an inter-crystal scatter recovery (with a selectable number of hits detected within a user-defined radius) in the data analysis, and the option to adapt the CTW to both the activity (which influences the Coincidence Resolving Time (CRT) [1]) and the object under study. Subsequent to the comparison between reconstruction results attainable with STIR and the FTR software, an inclusion of Time-of-Flight (TOF) information is also conceivable [4, 24, cf.]. In parallel, an exploration to find the exact spatial resolution limit of the detector, e.g., by means of dedicated phantoms [25], could serve as a basis to optimize the reconstructed voxel size for reduced image

<sup>&</sup>lt;sup>2</sup> The radiofrequency coils were not energized. Full MR-compatibility during active runs of common MR-sequences has previously been shown [1].

noise. Finally, it could be seen in this work that the comparable shortness of the FOV introduces restrictions to the achievable performance. To overcome these limitations, the Small Animal Fast Insert for MRI detector II (SAFIR-II), featuring 144.4 mm axial coverage, has been constructed.

#### Abbreviations

| ASIC              | Application Specific Integrated Circuit   |
|-------------------|---|
| CRT               | Coincidence Resolving Time  |
| CT                | Computed Tomography   |
| CTW               | Coincidence Timing Window   |
| DAO               | Data acquisition  |
| ESR               | Enhanced Specular Reflector   |
| FBP3DRP           | Filtered Backprojection, 3D Reprojection  |
| FDG               | 18E-Eluorodeoxyalucose  |
| FOV               | Field Of View   |
| FPGA              | Field-Programmable Gate Array   |
| FTR               | Fast Tomographic Reconstruction   |
| FWHM              | Full Width at Half Maximum  |
| FWTM              | Full Width at Tenth Maximum   |
| 10                |   |
| IYSO              | Lutetium Yttrium OxvorthoSilicate   |
| MLEM              | Maximum-Likelihood Expectation-Maximization   |
| MR                | Magnetic Resonance  |
| MRI               | Magnetic Resonance Imaging  |
| NECR              | Noise-Equivalent Count Rate   |
| NEMA              | National Electrical Manufacturers Association   |
| NEMA-NU4          | NEMA Standards Publication NU 4-2008  |
| PFT               | Positron Emission Tomography  |
| PETA6SE           | Position-Energy-Timing Application Specific Integrated Circuit version 6 Single Ended |
| RC                | Recovery Coefficient  |
| RF                | Radio Frequency   |
| ROI               | Region of interest  |
| SAFIR             | Small Animal Fast Insert for MRI  |
| SAFIR-I           | Small Animal Fast Insert for MRI detector I   |
| SAFIR-II          | Small Animal Fast Insert for MRI detector II  |
| SE                | Scatter Fraction  |
| SiPM              | Silicon Photomultiplier   |
| SOR               | Spill-Over Ratio  |
| SP                | Singles-Prompts   |
| SSRB              | Single Slice Rebinning  |
| SSS               | Single Scatter Simulation   |
| STIR              | Software for Tomographic Image Reconstruction   |
| TCR               | True Count Rate   |
| TOF               | Time-of-Elight  |
| USR               | Liltra Shield Refrigerated  |
| VOI               | Volume Of Interest  |
| TOF<br>USR<br>VOI | True Count Rate<br>Time-of-Flight<br>Ultra Shield Refrigerated<br>Volume Of Interest  |

#### Acknowledgements

The authors thank the SAFIR collaboration for their effort in constructing the SAFIR-I hardware and related infrastructure. Further, the authors thank the Radiopharmaceutical Sciences group of Prof. R. Schibli at ETH Zurich for the reliability and quality of their <sup>18</sup>F deliveries, even on orders at short notice.

#### Author contributions

All authors conceptualized the detector design and the experiments planned for this study. PB designed the experiments and adapted the measurement protocols following NEMA-NU4. PB acquired the data. PB analyzed the data. PB, WL, JD, and CR interpreted the data. PB adapted the data acquisition software, data analysis software, and reconstruction software, including software for data corrections, for use with SAFIR-I; he introduced background subtractions as required by NEMA-NU4 into the data processing pipeline. JD wrote the firmware for SAFIR-I. CR fixed bugs in the software, sometimes at short notice. PB wrote the original draft of this manuscript. All authors revised the manuscript. All authors read and approved the final manuscript.

#### Funding

Open access funding provided by Swiss Federal Institute of Technology Zurich This research was supported by the ETH Research Grant ETH-30 14-2, partly borne by the ETH Foundation under project number 2015-03, and by the Einrich-tungskredit of the University of Zurich.

#### Availability of data and materials

The data sets generated and analyzed during the current study are not publicly available due to the intellectual property rights belonging to ETH Zurich (Art. 36 Federal Act on the Federal Institutes of Technology). Data are however available from the authors upon reasonable request and with permission of ETH Zurich.

#### Code availability

The intellectual property rights to computer code, including firmware, developed at ETH Zurich belong to ETH Zurich (Art. 36 Federal Act on the Federal Institutes of Technology). Dedicated agreements with interested users of such material would have to be negotiated in order to make this information available.

#### Declarations

#### Ethics approval and Consent to participate Not applicable.

**Consent for publication** Not applicable.

#### **Competing interests**

The authors declare that they have no competing interests.

Received: 27 June 2023 Accepted: 6 December 2023 Published online: 12 December 2023

#### References

- Bebié P, Becker R, Commichau V, Debus J, Dissertori G, Djambazov L, Eleftheriou A, Fischer J, Fischer P, Ito M, et al. SAFIR-I: design and performance of a high-rate preclinical pet insert for MRI. Sensors. 2021;21(21):7037.
- Becker R, Casella C, Dissertori G, Fischer J, Howard A, Jeitler A, Lustermann W, Roeser U, Wang Q, Weber B. The SAFIR project: an innovative high rate preclinical PET/MR detector towards dynamic multimodal imaging. EJNMMI Physics. 2015;2:1–1.
- Khateri P, Lustermann W, Ritzer C, Tsoumpas C, Dissertori G. Nema characterization of the SAFIR prototype pet insert. EJNMMI Physics. 2022;9(1):1–15.
- 4. Ritzer CE. Development and first performance tests of the SAFIR prototype PET/MRscanner. PhD thesis, ETH Zurich 2020.
- 5. Khateri P. Safir prototype pet insert: Image reconstruction and NEMA characterization. PhD thesis, ETH Zurich 2021.
- 6. Gehrke R, Casey C, Murray RK. Half-life of lu 176. Phys Rev C. 1990;41(6):2878.
- 7. Sacco I, Dohle R, Fischer P, Piemonte C, Ritzert M. A compact, high-density gamma-detection module for time-offlight measurements in pet applications. Nucl Instrum Methods Phys Res, Sect A. 2016;824:233–6.
- Dohle R, Sacco I, Rittweg T, Friedrich T, Henning G, Goßler J, Fischer P. LTCC-based highly integrated SIPM module with integrated liquid cooling channels for high resolution molecular imaging. J Microelectron Electron Packag. 2018;15(2):86–94.
- 9. National Electrical Manufacturers Association (NEMA): NEMA standards publication NU 4-2008: performance measurements of small animal positron emission tomographs (PETs). Rosslyn 2008.
- Thielemans K, Tsoumpas C, Mustafovic S, Beisel T, Aguiar P, Dikaios N, Jacobson MW. Stir: software for tomographic image reconstruction release 2. Phys Med Biol. 2012;57(4):867.
- 11. Khateri P, Fischer J, Lustermann W, Tsoumpas C, Dissertori G. Implementation of cylindrical pet scanners with block detector geometry in STIR. EJNMMI Physics. 2019;6(1):1–20.
- 12. Hallen P, Schug D, Schulz V. Comments on the NEMA nu 4–2008 standard on performance measurement of small animal positron emission tomographs. EJNMMI Physics. 2020;7(1):1–20.
- 13. Oliver JF, Rafecas M. Modelling random coincidences in positron emission tomography by using singles and prompts: a comparison study. PLoS ONE. 2016;11(9):0162096.
- 14. Watson CC. New, faster, image-based scatter correction for 3D PET. IEEE Trans Nucl Sci. 2000;47(4):1587–94.
- Tsoumpas C, Aguiar P, Nikita K, Ros D, Thielemans K. Evaluation of the single scatter simulation algorithm implemented in the stir library. In: IEEE Symposium conference record nuclear science 2004. 2004; vol. 6, pp. 3361–3365. IEEE.
- Le Goff-Rougetet R, Frouin V, Mangin J-F, Bendriem B. Segmented mr images for brain attenuation correction in pet. In: Medical Imaging 1994: Image Processing, 1994;vol. 2167, pp. 725–736. SPIE.
- Bé M-M, Chisté V, Dulieu C, Browne E, Chechev V, Kuzmenko N, Helmer R, Nichols A, Schönfeld E, Dersch R. Table of Radionuclides. Monographie BIPM-5, vol. 1. Bureau International des Poids et Mesures, Pavillon de Breteuil, F-92310 Sèvres, France:2004. http://www.bipm.org/utils/common/pdf/monographieRI/Monographie\_BIPM-5\_Tables\_Vol1. pdf.
- Surti S, Karp JS, Perkins AE, Cardi CA, Daube-Witherspoon ME, Kuhn A, Muehllehner G. Imaging performance of a-pet: a small animal pet camera. IEEE Trans Med Imaging. 2005;24(7):844–52.
- 19. Huisman MC, Reder S, Weber AW, Ziegler SI, Schwaiger M. Performance evaluation of the Philips mosaic small animal pet scanner. Eur J Nucl Med Mol Imaging. 2007;34:532–40.
- Wong W-H, Li H, Baghaei H, Zhang Y, Ramirez RA, Liu S, Wang C, An S. Engineering and performance (NEMA and animal) of a lower-cost higher-resolution animal PET/CT scanner using photomultiplier-quadrant-sharing detectors. J Nucl Med. 2012;53(11):1786–93.

- 21. Bao Q, Newport D, Chen M, Stout DB, Chatziioannou AF. Performance evaluation of the inveon dedicated pet preclinical tomograph based on the NEMA nu-4 standards. J Nucl Med. 2009;50(3):401–8.
- 22. Gsell W, Molinos C, Correcher C, Belderbos S, Wouters J, Junge S, Heidenreich M, Velde GV, Rezaei A, Nuyts J, et al. Characterization of a preclinical pet insert in a 7 tesla MRI scanner: beyond NEMA testing. Phys Med Biol. 2020;65(24): 245016.
- Doss KKM, Mion PE, Kao Y-CJ, Kuo T-T, Chen J-C. Performance evaluation of a pet of 7t bruker micro-PET/MR based on NEMA nu 4–2008 standards. Electronics. 2022;11(14):2194.
- 24. Efthimiou N, Emond E, Wadhwa P, Cawthorne C, Tsoumpas C, Thielemans K. Implementation and validation of timeof-flight pet image reconstruction module for listmode and sinogram projection data in the stir library. Phys Med Biol. 2019;64(3): 035004.
- Derenzo S, Budinger T, Cahoon J, Huesman R, Jackson H. High resolution computed tomography of positron emitters. IEEE Trans Nucl Sci. 1977;24(1):544–58.

#### **Publisher's Note**

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

# Submit your manuscript to a SpringerOpen<sup>®</sup> journal and benefit from:

- Convenient online submission
- ► Rigorous peer review
- Open access: articles freely available online
- High visibility within the field
- ▶ Retaining the copyright to your article

Submit your next manuscript at ► springeropen.com